

INDEPENDENCE POLICY

Active Building Control is committed to providing an impartial and professional service following the requirements of the Building Control Performance Standards, CICAIR Code of Conduct and those of CABE and RICS. We are responsible for the impartiality of the delivery of our building control service and do not allow commercial, financial, or other matters to compromise independence and/or impartiality.

It is the objective of Active Building Control to satisfy the quality and delivery requirements of our clients now and in the future. We measure our performance in meeting clients' requirements and work with them to continually improve the service we provide. In order to achieve this objective, the company maintains a Quality Management System based upon the requirements of the BS EN ISO 9001:2015 international standard, which is externally audited.

As defined by regulation 9 of the Approved Inspector Regulations 2010 (as amended), risk to impartiality is considered to occur where a design and/or construction and building control function is being provided on the same project by the same organisation. Further risks to independence and impartiality may occur in respect of financial, personal, or family involvement in the project.

Active Building Control provides no design services that may be required in association with a Building Regulations approval application. It is completely independent from any design service that may recommend its services and receives no commission, nor does it provide any incentives for others to use its service.

Where Active Building Control requires aspects of a design to be verified by a third party, that third party cannot be an organisation that was involved in the design. Active Building Control has a clear, published whistleblowing policy and makes its staff aware of this policy.

In order to maintain an independent service delivery system of building control, Active Building Control will review the impartiality policy annually to ensure that the process is maintained.

In summary:

- Active Building Control is an independent legal entity.
- No financial incentives are given to Active Building Control or offered to any other service provider to 'cross-sell' any related services, such as warranties, or design services.
- The Complaints Procedure allows for clients to escalate potential breaches of impartiality
- This Impartiality Policy is communicated to all employees and is available on the Active Building Control website.

Signed by Directors

Mike Wood
Director
11th March 2022

Tom Raftery
Director
11th March 2022

Amendment Record

Issue Number	Amendment Made	Date of Issue
1	Document issued (Stage 1)	Mar-2022